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2	The ECOLOPES PLANT MODEL : a high-resolution model to
3	simulate plant community dynamics in cities and other human-
4	dominated and managed environments
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6	Jens Joschinski <sup>*1,2</sup> , Isabelle Boulangeat <sup>3</sup> , Mariasole Calbi <sup>4</sup> , Thomas E. Hauck <sup>1,5</sup> , Verena
7	Vogler <sup>6</sup> , Anne Mimet <sup>2,7</sup>
8	<sup>1</sup> Studio Animal-Aided Design, Berlin, Germany; <sup>2</sup> Technical University of Munich, Terrestrial Ecology Research Group, Department of Life
9	Science Systems, School of Life Sciences, Freising, Germany; <sup>3</sup> Univ. Grenoble Alpes, INRAE, LESSEM, St-Martin-d'Hères, France.
10	<sup>4</sup> Department of Earth, Environment and Life Sciences (DISTAV), University of Genoa, Genoa, Italy; <sup>5</sup> Department for Landscape Architecture
11	and Landscape Planning, Technical University Vienna, Vienna, Austria; <sup>6</sup> Research and Development Department, McNeel Europe S.L.,
12	Barcelona, Spain; <sup>7</sup> BiodivAG, Université d'Angers, Angers, France
13	

14 \* corresponding author: Jens Joschinski, Jens.joschinski@animal-aided-design.de

# 15 Abstract

16	Cities and urbanized areas have become an important habitat for organisms. Although nature
17	can provide multiple ecosystem services to humans, urban planning and architecture often do
18	not adequately consider the ecological needs of species. In ECOLOPES we envision buildings,
19	and in particular building envelopes, to be a joint habitat for humans, plants, animals and
20	microbiota as equally relevant stakeholders. A centerpiece of the planning and design tools
21	that ECOLOPES provides is a joint soil-plant-animal community model. We present the first
22	prototype of the ECOLOPES PLANT (community) MODEL that responds to 3-dimensional
23	(building) geometry and management, show potential applications as a stand-alone model,
24	and discuss its technical and conceptual limitations that are yet to be overcome.
25	
26	Keywords: architectural design, biodiversity, ecological modelling, urban ecosystems

## 28 Introduction

29 Biodiversity provides multiple tangible and intangible benefits to society, including e.g. 30 psychological (Fuller et al., 2007) and medical (Erwin et al., 2010) remedies, agricultural 31 benefits (Dainese et al., 2019) and sources of economic wealth (Echeverri et al., 2022). The 32 largest ecological benefit of biodiversity is probably the sustenance of ecosystem functioning, and of its temporal stability (e.g., Cardinale et al., 2012; Tilman & Downing, 1994). Overall, a 33 34 sustained biodiversity loss (mass extinction) would have far-reaching consequences, including on the ecology and long-term evolution of surviving taxa (Jablonski, 2001). 35 36 Biodiversity is indeed rapidly declining globally (Díaz et al., 2019; Hallmann et al., 2017; Pereira 37 et al., 2010; van Klink et al., 2024), at a rate comparable to historic mass extinctions (Ceballos 38 et al., 2015) and thus of major concern for society (United Nations, 2015, SDG 14 & 15). 39 Patterns and drivers of biodiversity loss differ among taxa (Sánchez-Bavo & Wyckhuys, 2019), 40 but land use and land cover change is globally the largest cause of biodiversity decline (IPBES, 2019; Jaureguiberry et al., 2022). Humans are responsible for the changes in land use and land 41 42 cover with highest impact on biodiversity, most notably agricultural intensification (Egli et al., 43 2018; Kehoe et al., 2017) and urbanization (McDonald et al., 2020; Seto et al., 2012). Stopping 44 or even reverting the trends in land use and land cover change is a major societal challenge

on its own (United Nations, 2015, SDG 2 & 11), and solutions that revert the trend would
benefit not only biodiversity but also food security and human health and well-being.

The impacts of land use and land cover changes can be moderated by thoughtful interventions (e.g., hedges for connectivity, crop diversity, wildflower strips) that can significantly increase ecological stability and functioning without large negative economic impacts (Bommarco et al., 2013). Similarly, simple and cost-efficient measures (e.g. reduced management) can

improve biodiversity and ecological functioning in heavily urbanized areas (Threlfall et al., 2017; Vega & Küffer, 2021). Yet, better results may be achieved when ecology is integrated and made an explicit objective, both in agriculture (organic farming) and in architecture and urban planning (e.g., regenerative design, net-positive design, biophilic design), as early consideration allows mediating conflicting objectives (Birkeland, 2020) and considering the multiscalar nature of ecological processes (Beninde et al., 2015).

57 The ECOLOPES (ECOlogical building enveLOPES) project aims to integrate ecology as early as 58 possible into the design process, with the aim to design ecologically sound buildings (Weisser et al., 2023). In contrast to existing approaches, ECOLOPES considers not only the ecosystem 59 60 services that urban green provides to humans, but also the perils and chances that 61 architecture delivers to plants, animals and the microbiota. As such, it represents a radical 62 change of view, from an anthropocentric view towards a vision in which non-human 63 stakeholders are equally considered. Consequently, the ideal ecolope does not resemble a 64 garden or park, but is a self-managed and functional ecosystem that requires no or only very little management. Moreover, ECOLOPES does not only conceptually consider ecological 65 needs, but also provides the tools for a technical, integrated analysis that can be put in 66 practice by landscape planners and architects (<u>https://gitlab.com/ecolopes-team</u>). One of the 67 68 key outcomes of ECOLOPES will be a coupled ecological model, which jointly simulates plant, animal and (soil) microbe communities, their interaction, and how the community (and its 69 temporal change) is affected by architectural features and urban planning decisions. This 70 71 ecological model can in turn be combined with other computational tools to become the 72 centerpiece of a data-driven design recommendation system, and help lowering the conflict between needs of humans and of other stakeholders. 73

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We here present the first prototype of the ECOLOPES PLANT MODEL, as one of the parts of the envisioned joint ecological model. This C++ - Model is derived from the model Fate-HD (Boulangeat et al., 2014) and simulates plant communities in a 3-dimensional environment. We strictly limit ourselves to a technical description and discussion of capabilities of the model itself, as the integration into a complex computational workflow (plugin for Rhino<sup>®</sup>, McNeel Europe) is not finished yet. A discussion of the model (or any successors) in an applied context will accordingly be published separately.

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## 83 Methods

The ECOLOPES PLANT MODEL is a stand-alone tool that models plant dynamics at high resolution and which will also be integrated into the computational workflow of ECOLOPES. We here summarize the model by describing its modeling objectives, concepts and required inputs. We also demonstrate the model's general utility with the help of (manually created) 2D and 3D inputs and a visualization in R. The full description of the model can be found in the appendix. This description follows the ODD (Overview, Design concepts, Details) protocol for describing individual- and agent-based models (Grimm et al., 2006, as updated by Grimm et al., 2020).

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### 92 Objectives and novelty

The ECOLOPES PLANT MODEL aims to predict the presence, abundance and community dynamics of different types of plants, usually clustered into Plant Functional Groups (PFGs). It is derived from the Fate-HD community model (Boulangeat et al., 2014). In short, Fate-HD is a landscape model that combines species distribution modeling with coarse-grained (generalized) process-based modeling, thus achieving a balance between general applicability

and accuracy. The outputs of Fate-HD are not to be taken at face value, but indicate relativeshifts in community composition over time.

100 The ECOLOPES PLANT MODEL inherits most of the concepts of Fate-HD, but repurposes it to 101 evaluate the effect of microenvironmental conditions on community composition and 102 succession, in a 3-dimensional environment. Like its predecessor, the current version captures 103 relative community shifts, but in contrast to Fate-HD the long-term aim is a more accurate 104 prediction of plant biomass in absolute terms. Moreover, the ECOLOPES PLANT MODEL is meant 105 to be combined with other tools, e.g., an animal model that predicts animal community 106 dynamics based on the plant community, and hence requires a high degree of interoperability 107 and flexibility. This version of the model serves as backbone for future refined versions and 108 identifies technical constraints for providing more accurate results. To this aim, we revised the 109 technical implementation while conserving almost all concepts from the precursor, except for 110 light competition, which is further elaborated in the discussion.

111 In summary, this version of the model is not meant to provide information about biomass 112 distribution in absolute numbers yet, but it allows showcasing the technical feasibility and 113 utility of a 3D community model, and it allows identifying conceptual challenges that need to 114 be solved in the future.

115

### 116 Entities and scale

The model is designed for use in urban environments, and it simulates community dynamics over the typical lifespan of a building envelope; its spatial resolution is fixed at 1m x 1m x 1m, while the extent is variable but shall be in the order of 100 x 100 x 10 m (length, width, elevation). It is made up of cubic cells in which a plant community can thrive. The cubic cell itself is stratified, mimicking the stratification of plant communities. We chose four strata in our tests, corresponding to herb, shrub, understory and canopy layers. Plants differ in maximum sizes and growth forms (e.g. grasses vs. shrubs vs. trees), and hence both in the number of strata they grow through and in the time they stay within each layer.

The principal agent of the model is the demographic composition of a local stand of plants of 125 126 the same species of functional group (i.e. not explicitly an individual plant). In lack of a better 127 wording, we will name this local aggregation of plants of the same taxonomic group but 128 different ages a deme (but without implying any genetic structure or local adaptation). The 129 deme itself consists of age cohorts of individuals, but individuals within an age cohort are not 130 uniquely identifiable (no inter-individual variation). Multiple demes of different functional 131 groups or taxonomic identity can potentially live together in a cell to create a community, and 132 each is defined by its own trait values (e.g., demographic traits' values, responses to 133 disturbances, etc; see Supp. S1, Table 4). The trait values have to be provided by the user, and 134 one way to overcome data limitations is the aggregation of functionally redundant species 135 into the same group, i.e., the creation of Plant Functional Groups (Boulangeat et al., 2012).

136 Plant composition dynamics is implemented through the temporal simulation of 137 demographical changes of the plant community (i.e., temporal changes of deme abundances) 138 in each stratum of the cells, also accounting for the simulated concomitant changes in light 139 availability (caused by changes in deme abundance). We use a slightly different and more 140 explicit concept of light in this model than the precursor Fate-HD, and we will elaborate the differences in the discussion. Briefly put, light passes through the strata of the community and 141 142 gets reduced along the way. In contrast to Fate-HD, where it is not available light that is in the 143 reasoning but rather shading effect through the evolution of the canopy, in this model the 144 amount of available light is corrected by shading (e.g., by buildings). This ensures that shaded 145 cells fall earlier to "medium" or "low" light conditions and potentially exclude non-tolerant

146	PFGs. Furthermore, light may fall at an angle below 90°. If this is the case, it will partially pass
147	through the neighboring cell and is accordingly reduced by the neighbor's plant abundance.
148	Apart from the dynamically changing light conditions, the plant demes also respond to the
149	following microenvironmental (cell) parameters that are usually provided as an input to the
150	model, adapted from the "habitat suitability" and "disturbance" modules of FATE-HD:
151	- Soil depth. The depth of the soil is a limiting factor for plants, especially deep-rooting
152	ones. Accordingly, plants whose rooting depth exceeds the soil depth are unable to
153	grow.
154	- Soil class. Plants differ in soil requirements and hence have different soil profiles. If the
155	soil class in a cell does not match the plant's profile, the plant is unable to germinate
156	or grow.
157	- Disturbance. External, user-defined disturbances such as herbivory, fire or
158	management can impact population demography. The strength of the effect may differ
159	among PFGs.
160	- Shading. Shading caused by buildings or natural structures (cliffs) reduces available
161	light and thereby intensifies competition among PFGs (as explained above).
162	
163	These four cell attributes are constant throughout the model run in the stand-alone version
164	of the new model. However, the model may also be compiled as a shared library (.dll), allowing
165	it to be embedded in other programs and models (not available under Windows). If used in
166	such a way, the inputs "soil class" and "disturbance" can be exchanged at every time step. This

allows, e.g., modeling directional and stochastic climate change through changes in the soilclass attribute.

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#### 170 Process overview

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Fig. 1: Process overview. The order of events is largely copied from Fate-HD, so that conceptual changes to the
model are kept to a minimum. Processes in this diagram match function names of code (see UML diagram in
Supp. S1); the deme is called FuncGroup in the code.

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177 The model largely works on individual cells (Fig. 1) and uses the process employed by Fate-

178 HD: in each time step, each plant deme is disturbed by a number of fixed, annual disturbances.

179 Depending on its PFG traits, each growth stage of a deme may react differently to the

180 disturbances, including not being affected at all. Then it is checked, separately for each

stratum, whether the current light conditions are sufficient. A common outcome is the death 181 182 of all lower plants, while the upper plants survive. Subsequently the deme ages by one time step, potentially causing the oldest demographic group to die. Aging is followed by a 183 calculation of the new light conditions. Light is calculated based on the demography of the 184 185 whole community of the cell, and in contrast to FATE-HD, also of the neighboring cell if 186 enabled. This is actually more important for the targeted resolution (1m), as individuals could spread over several cells. Germination and recruitment are then individually computed for 187 188 each deme, and the outcome is a number of newly produced seeds. These processes depend 189 on the current suitability of the cell, which is in turn determined by soil depth and soil class. 190 The newly dispersed seeds are not immediately placed back into the cell. Instead, all seeds 191 across the landscape are collected and then uniformly and randomly dispersed across the site. 192 The Fate-HD modules "soil", "drought", "fire" and "aliens" of Fate-HD were not ported to this 193 version, but can be emulated by other means; "disturbance" was reduced to removal of adult 194 plant material (no seed predation and no resprouting); and "dispersal" was simplified to 195 accommodate the smaller spatial scale. See appendix S1 for details.

196

- 197 Input and output data, initialization
- 198 The model requires the following information to run:
- 199 Configuration parameters (12 variables, see Supp. S1 Table 3)
- 200 Definition of Plant Functional Groups, including their response to disturbances (e.g.
- 201 management, animals) and soil (26 variables, see Supp. S1 Table 4)
- 202 Microenvironmental information for each cell. This currently includes the amount of
- shading, soil class and soil depth, and the amount of each (user-defined) disturbance.

The output of the model is an abundance value of each deme in each cell, for each time step that was specified. The abundance values are unitless expressions to compare among PFGs, but in the future are expected to correlate with plant biomasses (see discussion).

208 Special consideration needs to be given to sensible starting populations. Starting conditions 209 may include, e.g., a barren soil that gets colonized over time; a random starting population; 210 an initialization period of 1000 time steps on either of above, to achieve a stable community; 211 the use of ecological mapping to explicitly instantiate each plant with correct age; or a 212 combination of above. Longer initialization periods are in principle possible, but increase the 213 runtime of the model, while explicit instantiation requires further high-resolution data. We 214 thus decided to initialize the models with zero – and 1-year old plants from each PFG, with an 215 abundance of 1 to 100 (uniformly random) wherever the habitat is suitable. The model then 216 runs for five time steps to achieve more variation in the age distribution in each cell.

We are aware that the required inputs (shading, depth, soil classes, PFGs and configuration parameters) are difficult to create manually, and that the manual use of the tool is tedious. We provide a short user guide in the supplementary material (Supp. S2) to explain the required inputs, and steps to perform and run the model, but we would like to emphasize that separate tools and workflows are in preparation (led by Verena Vogler), which will considerably simplify input creation and visualization of results in the future.

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### 224 Model testing

We use the gtest framework (<u>google.github.io/googletest/</u>) for unit and integration tests, but tests are currently limited to the most crucial functions (40 tests in 6 test suites), focusing in particular on the completely revised higher-level classes. Although we also revised the model architecture and class relationships, low-level functions were changed only very sparingly. We

assume that these low-level functions were already rigorously tested in the predecessor model (Fate-HD) and performed only informal tests. Where applicable, we provide suggestions for future revision (see "issues" in repository, tag "coding issues").

The most informative test suite regards a model run on a landscape of 18 cells, covering management, habitat suitability, and disturbance, but not soil depth; soil depth was, however, tested informally and is known to produce valid results. We also disabled dispersal, because it randomizes results and is inherently more difficult to test. Test results can be verified by inspecting the file "PlantModelTestNoDisp.cpp" (folder "tests") on the repository, or by running the model with the file "test\_noDisp.json" and comparing inputs and outputs manually.

239

#### 240 Data preparation and visualization

To demonstrate the model's utility but also to emphasize its current limitations, we prepared two examples. For both examples we created 10 Plant Functional Groups (PFGs) defining the traits of the plants. We used 10 randomly drawn PFGs from a dataset that creates generic PFGs with worldwide applicability (Calbi et al., 2024), but adapted and simplified them to our use case. The PFGs differ in soil type and depth requirements and in parameters relating to growth, but not in shade tolerance (table 1).

In the first example we use a 2-dimensional planar surface without shade. We defined two management plans, called "mowing" and "tree pruning", and applied them annually in the western and in the eastern side of the site, respectively. Mowing affects the herbs, while shrub/tree PFGs are affected by pruning. PFG "herbs\_95" is not affected by either management plan. In the other direction (north to south), we apply a gradient of soil depth from 0 to 45 cm in steps of 5 cm.

253 The second example is a 3-dimensional input geometry: a cuboid with a size of 10 x 10 x 5 m 254 lies on a planar surface of 20 x 20 m. Light immediately north of the cuboid is reduced due to 255 shading (10 – 50% reduction). Two balconies are attached to the southern face of the cuboid, creating also a low amount of shading underneath, and mimicking a more complex 256 257 topography. The soil has a depth of 50 cm on the ground and on the balconies, and a depth of 258 10 cm on the top of the cuboid; tiles along the walls of the cuboid do not contain any soil. We 259 further define two soil types, which we term "ground" and "roof", and which are distributed 260 on the ground and on the cuboid, respectively. We provide an intermediate amount of general 261 management (0.5) everywhere. The outputs are visualized using R version 4.4.0, "Puppy Cup" (R Core Team, 2024) and the 262 263 packages isonlite (Ooms, 2014), tidyverse (Wickham et al., 2019) and rgl (Murdoch et al.,

264 2024). The R script for the entire procedure is available in the repository and in Supp. S3.

265

267 Table 1. Attributes of the 10 example PFGs. "Pruning" and "mowing" represent effect sizes of management

268 decision (annual removal rates), for other parameters see supplementary material (Supp. S1). Light tolerance was

the same for all PFGs (no tolerance for shade in any PFG, except in germinant stage).

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						shrubs	shrubs	shrubs	shrubs	shrubs
	herbs	herbs	herbs	herbs	herbs	_trees	_trees	_trees	_trees	_trees
	153	39	72	93	95	102	106	108	13	42
matTime	3	3	3	3	3	5	3	3	3	3
LS	10	10	10	10	10	10	10	10	10	10
Abund	high	high	high	med	high	low	low	med	low	low
Immsize	0.8	0.8	0.8	0.5	0.8	0.5	0.5	0.5	0.5	0.01
MaxStratum	2	2	2	3	2	2	3	2	3	3
changeAge	0,1,2	0,1,2	0,1,2	0,1,1,5	0,1,2	0,1,1	0,1,1,5	0,1,5	0,1,1,5	0,1,1,1
PoolL	1	20	1	1	1	1	1	1	20	1
Fec	1	1	1	1	1	1	1	1	1	1
Shading	1	1	1	1	1	20	20	20	20	20
LAG	5,8,9	5,8,9	5,8,9	5,8,9	5,8,9	9,9,9	9,9,9	9,9,9	9,9,9	9,9,9
SoilTol	r,g	g	r	r	g	g,r	g	g,r	r	g
Depth	8	30	5	5	10	8	10	10	5	40
pruning	-	-	-	-	-	0.2	0.2	0.2	0.2	0.2
mowing	0.2	0.2	0.2	0.2	-	-	-	-	-	-

## 271 Results

All models since version 0.5.1 pass all unit and integration tests. This means that the model is fully functional and that the tested sections work within the specifications set out in the introduction and the ODD (see Supp. S1): the model creates a temporally changing and spatially variable plant community, which is affected by soil depth, soil class, shading conditions and disturbances (e.g. management decisions or herbivory); the effect of management on the communities can be calculated manually based on the inputs (as is done in the unit tests), while soil depth and soil class produce a binary response (match / mismatch). The effect of shading on competition among PFG is non-linear and too complex to be includedin simple unit tests but can be verified by manual inspection of the results (see below).

281 In the first (2-dimensional) use case we observed a slight increase in total abundance 282 (summed over all PFGs) and soil depth (data not shown). The effect was, however, strongly 283 contingent on the PFGs that were used, and individual PFGs differed in patterns. For instance, 284 PFG herbs 39" only occurred on soils with a depth of 30 cm or more but did otherwise not 285 vary with soil depth (Fig. 2A, left). PFG "shrubs trees 106" occurred from 10 cm onwards, but 286 its abundance decreased slightly with depth (Fig. 2A, right). Both groups of PFGs were also 287 affected by management, in particular by tree pruning, with differences in the direction of the 288 effect (Fig. 2B). There was no consistent change of abundances or community dynamics over 289 time, except that abundances were reduced over the first two time steps. There was strong 290 cyclic turnover in the community composition, however (data not shown). The period of the 291 cycles was two for all PFGs, so PFG abundances were at all times either in synchrony or exact 292 opposition. Cycles of adjacent cells were not coupled, unless light was allowed to pass at low 293 angles through neighboring cells – in this case, regular patterns occurred in the spatial 294 distribution of plants.

295 Using the second example topography presented in the methods, the spatial (3D) 296 configuration of the community mirrored the input topography, and the effects of light and 297 soil are readily visible in the outputs (Fig. 2C, D). For instance, shading (north of the cuboid) 298 caused lower total abundances (Fig. 2C) and herbal plants were disproportionately reduced 299 (herbs contributed on average 53.9% of the cell's PFGs abundance under full light, 12.2% in 300 shade; data not shown); further, all PFGs were missing along the walls of the cuboid, because 301 the soil class did not match with the PFG requirements. Overall, higher amounts of shading 302 had a strong effect on total PFGs abundance (Fig. 2D).



305 Fig. 2: Graphical representation of modeling results. A) 2-dimensional planar surface without 306 shade and with soil depth gradient from north to south. Mowing occurs on the western side, 307 tree pruning on the eastern side. Color intensity indicates total abundance of the two PFGs 308 "herbs 39" and "shrubs\_trees 106", summed over time B) Comparison of summed PFGs 309 abundance between the three management types of A), separately for all shrubs and all herbs. 310 C) 3-Dimensional input geometry. Shading is present immediately north of the building, soil 311 depth and soil classes vary between roof and ground level, and general management is present on the site (see methods for full description). For demonstration purposes, summed 312 abundance across all PFGs at time step 5 is shown. D) boxplot of summed abundance against 313 amount of shading for C). 314 315

## 316 Discussion

Before discussing the constraints, limitations and broader relevance of the model, the first question should be whether the model actually behaves as intended and conforms to the specified goals and concepts.

320 The ECOLOPES PLANT MODEL is part of a complex computational toolchain, and while rigorous 321 software engineering principles are useful for nearly any individual-based model, their utility 322 exponentiates in a complex software setting with multiple components (e.g., Scheller et al., 323 2010; Trisovic et al., 2022). Given these requirements, and its intended use in commercially 324 relevant applications, our model has to be held to particularly high standards regarding code 325 conformity and stability. It does by no means reach this much higher quality threshold yet, 326 and a provision of quality metrics (e.g. test coverage, cyclomatic complexity, static analysis 327 outputs) would be premature. Nevertheless, we embraced critical software engineering 328 principles wherever possible (encapsulation, clean code, assertions; full documentation and 329 logging; version control, automated integration and testing, portability; use of standard tools, 330 libraries and file formats) and we are confident that the software provides a reasonable basis 331 for future development. Indeed, the unit tests ensure alignment of the code with core model 332 specifications, and first simulation results look promising (Fig. 2). In the future more tests shall 333 be added, including not only those unit tests which are currently missing, but also full 334 integration tests that survey light competition outcomes in more detail. For now we suggest 335 that the model does largely behave as intended, though a risk for unforeseen bugs remains.

336 Unfortunately, biological research is somewhat detached from software engineering and 337 practitioners are frequently not trained in best practices (Vedder et al., 2021), nor is there 338 adequate consideration from funding and supervising bodies, or particular awareness in the

publication process (but see Freckleton, 2018). The resulting code quality and potential error rate in biological research is disappointing (Darriba et al., 2018; Trisovic et al., 2022). On the other hand, scientists are trained in critical analysis and should be able to detect major errors and spurious results, somewhat mitigating the high risk for errors. We are confident that our model can compete against this (low) baseline of model expectations, and we carefully suggest that the model is ready for scientific use.

Having discussed the coherence of concepts and implementation, one may now ask whether the conceptual decisions themselves are sensible. The concepts were in most parts borrowed from Fate-HD and are defended in the model's description (Boulangeat et al., 2014), but there are two important additional considerations which any reader and user of the model must be made aware of.

First, the model's meaning of "light" differs slightly from that of Fate-HD. Fate-HD is a 350 351 landscape community model, which finds a balance between complexity and generality by 352 modeling general patterns at coarse resolution. Although both the model description 353 (Boulangeat et al., 2014) and the code of Fate-HD mention "light conditions" and "shading" 354 variables, they are meant to represent canopy effects, i.e., the integrated effect of shading, 355 humidity changes, and other abiotic and biotic factors. Similarly, the output of Fate-HD 356 (abundance) shall not be interpreted as biomass units in absolute numbers, but as a general 357 guidance regarding relative abundances of PFGs. A future version of the ECOLOPES PLANT MODEL is, on the other hand, meant to provide accurate results in absolute values. The use of unitless 358 359 abundance and canopy values hinders transition to explicit biomasses, so light and shading 360 are taken more literally in the new model. This conceptual change has important 361 repercussions on the interpretation of results. For instance, in Fate-HD it was perfectly logical 362 if an understory plant required a canopy for survival (facilitation), because the canopy provides a cooler and more humid climate; in the ECOLOPES PLANT MODEL it would not make
biological sense if a plant could survive under shaded, but not under full-light conditions. The
models hence differ in the expected parametrization.

366 Using the more literal interpretation of light/shading, the ECOLOPES PLANT MODEL was able to 367 make two further additions to the concepts and implementation. First, the amount of 368 available light is corrected by a shading index. This ensures that shaded cells fall earlier 369 to "medium" or "low" light conditions. Secondly, light may fall at an angle below 90°. If this is 370 the case, light will partially pass through the neighboring cell and is accordingly reduced by 371 the neighbor's plant abundance. These conceptual changes to light (along with their technical 372 implementation) ensure a more realistic treatment of shading, including shading by buildings 373 and other geometries (Fig. 2C). Nevertheless, while the new treatment of light is an important 374 step towards more explicit model outcomes in the future, we still advice against interpreting 375 them in absolute terms in the current version. For instance, within ECOLOPES (Weisser et al., 376 2023) the model is expected to deliver «good enough» predictions on how building geometry 377 alters community structure, such that the model can be relied upon to provide suggestions 378 for improving building designs – but it cannot be used yet to calculate expected weight loads 379 on green roofs.

380 Secondly the ECOLOPES PLANT MODEL is applied on a much smaller spatial and temporal scale 381 than Fate-HD. In Fate-HD the community of each cell is usually a larger group of individuals, 382 but by reducing the spatial extent to a cube meter in this model, we simulate only a low 383 number of individual plants. This leads to a series of conceptual problems and mismatches 384 with reality:

1) in Fate-HD the highest stratum provides a canopy that (correctly) shades the lower strata,
potentially causing lower-growing plant material to die. Fate-HD does not strictly differentiate

between biomass and abundance of individuals (see Supp. S1, section 9), but because the number of individuals per cell tends to be high, the lower-growing plant material of the same PFG can statistically be interpreted as being a different individual (i.e., offspring). Our model reduces the number of individuals per cell, and the abundance values must accordingly be more strongly interpreted as biomass values of the same plant. This causes an unrealistic shift from inter- to intra-individual competition;

2) Fate-HD does not model competition for space explicitly, so plants that grow within the same stratum, which do not compete for light, do not compete with each other at all; in our model the small spatial scale makes competition for space more important and should be considered;

3) Plant material that grows to a higher stratum will hover in the air and its lower strata cast
no shade. This does not matter for the canopy calculation in Fate-HD (except at forest edges),
but becomes important when light enters at an angle;

400 4) Each plant community is confined to a single cell. Plant material that outgrows a cell does
401 not cast shade on the neighboring cell, so the results may become unreliable when the model
402 is used for larger PFGs;

5) The highest-growing plant material will usually determine the light conditions of the cell. As long as the abundance in the highest stratum is high, nearly no plant material will grow below. Because there is no inter-individual variation in lifespan, the abundance will change suddenly, making room for other PFGs on the lowest stratum and causing the observed strong population cycling on small time scales (see results), at least when the number of PFGs and the variation in their demographics is low.

409 Overall, the reduction of the spatial scale warrants a revision of some of the key model410 concepts. We argue that the core of the problem lies in the use of a (demographic) community

411 model for individual plants. Adding individuals with their own resource pools and (spatially 412 explicit) biomasses should solve various issues regarding light competition and may also 413 simplify parametrization. Moreover, a revision would solve various technical challenges 414 regarding data storage (see Supp. S1 and issues section in the repository). A later version of 415 an individual-based model could then add more realistic lighting calculations, including along 416 sloped surfaces and facades.

417 The guestion how well the conceptual decisions made here reflect reality must ultimately be 418 answered by parametrization and validation against real-world data. The parametrization is, 419 however, cumbersome in both Fate-HD and the new model. Six important parameters are set 420 via a configuration file (Light thresholds, abundances, fecundity; see Supp. S1, Table 3), and 421 14 further types of parameters (>25 entries) are specific for each PFG (Supp. S1, Table 4). 422 While some of the PFG parameters are straight-forward to understand (e.g. lifespan), others 423 do not have an intuitive or well-supported relationship with PFGs inherent features (size of 424 immatures relative to adults) or are not meant to represent a measurable entity (e.g., light 425 thresholds, a fecundity constant). Because the model parametrization by PFGs requires 426 considerable effort (Boulangeat et al., 2012), and because there are further technical and 427 conceptual issues to solve first (see above), we have so far opted against a separate 428 parametrization and validation of the model. We think that the validation performed by Fate-429 HD shall still be mostly valid for larger spatial scales and lower resolutions, while for lower 430 scales and higher resolutions significant work is likely still required.

Having discussed the technical implementation and the concepts, the last remaining question is the potential application of the model. As an integrated tool (Weisser et al., 2023), the model is not, in fact, immediately useful in its own right, but requires other means to generate inputs and visualize the results. Nevertheless, many researchers are proficient with R, and

435 when combined with R scripts, one can already create meaningful inputs and examine and 436 analyze the results. The simple example presented in the results shows that all key processes 437 are working (Fig. 2). First, PFGs differ in the spatial distribution along the site, according to soil class and depth tolerances (Fig. 2A). Secondly, specific plot regions can be affected by 438 439 disturbances, and the effect of the disturbance is PFG-specific (Fig. 2B). Thirdly, the three-440 dimensional setup of the site is adequately considered (Fig. 2C). For instance, total plant 441 biomass is reduced in shade (Fig 2D). The plant communities growing in the shade underneath 442 the balcony also differ from those growing above them, showing the potential to include complex topographies. In summary, the example demonstrates that meaningful inputs can be 443 444 created manually, and visualized or analyzed with R.

445 The example presented here was on purpose very simple, as it requires the manual input of 446 data. Yet, the workflow could also be used for more complex use cases, for example to model 447 plant communities in alpine or otherwise topographically complex habitats. A digital elevation 448 model (DEM), analyzed with a shading function (e.g. hillShade, package raster) should provide 449 shading values, and combined with maps of soil depth and soil classes it should be able to provide all necessary inputs for the model. Further, site-specific abiotic and biotic stressors 450 451 (e.g., strong winds, fire, herbivory pressure) could be added via custom-made disturbance 452 maps. The process is admittedly quite labor-intensive though and requires site-specific 453 scripting and tweaking of the data.

## 454 Conclusion

The current conceptual limitations notwithstanding, the model is technically ready and can already provide a reasonable plant community that reacts to microenvironment and topography. A tool built on this model or any successor that solves the presented issues

- 458 (Vogler, Grasshopper plugin in Rhino) can help visualizing the effect of the urban fabric on
- 459 plant communities, and especially with future improvements in mind, will help develop
- 460 buildings that promote ecological functions (Weisser et al., 2023).
- 461

### 462 Author contributions

- 463 AM and TH conceived the original idea and led the project. IB provided the orginal model
- 464 (FATE-HD) and contributed to the conceptual framework. VV provided help in data
- 465 structuring, inputs and outputs, and performed visual validations of earlier model outputs.
- 466 MC provided additional conceptual support, in particular regarding model parametrization.

467

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## 476 Declaration of competing interests

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478 JJ and TH were employed by the company Studio Animal- Aided Design, VV by the company

479 McNeel & Associates. The remaining authors declare that the research was conducted in the

480 absence of any commercial or financial relationships that could be construed as a potential

481 conflict of interest.

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